



## TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

Total Number of Pages in This Submission

Application Number	09 620,968
Filing Date	July 20, 2000
First Named Inventor	Shunpei YAMAZAKI
Group Art Unit	2814
Examiner Name	Nathan W. Ha
Attorney Docket Number	740756-2183

### ENCLOSURES (check all that apply)

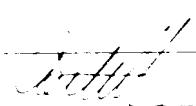
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawings	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Declaration and Power of Attorney	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply, Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Application Data Sheet
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> A self-addressed prepaid postcard for acknowledging receipt
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Response to Missing Parts Incomplete Application	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

### Remarks

The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name: Jeffrey L. Costellia - Reg. No. 35,483  
Nixon Peabody LLP  
8180 Greensboro Drive  
Suite 800  
McLean, VA 22102

Signature: 

Date: August 15, 2003

### CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

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Date: \_\_\_\_\_

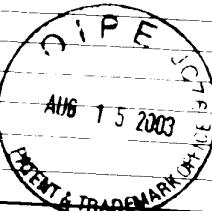
Signature: \_\_\_\_\_

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# FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision

Applicant claims small entity status. See 37 CFR 1.27  
TOTAL AMOUNT OF PAYMENT (\$ 110.00)

 AUG 15 2003		Complete if Known	
		Application Number	09 620,968
		Filing Date	July 29, 2000
		First Named Inventor	Shunpei YAMAZAKI
		Examiner Name	Nathan W. Ha
		Art Unit	2814
Attorney Docket No	740756-02183		

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  Other  None

Deposit Account

Deposit Account Number 19-2380

Deposit Account Name Nixon Peabody LLP

### The Commissioner is authorized to: (check all that apply)

Charge fees(s) indicated below  Credit any overpayments  
 Charge any additional fees(s)  
 Charge fees(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

#### Large Entity      Small Entity

Fee Code (\$)	Fee	Fee Code (\$)	Fee	Fee Description
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for ex parte reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	410	2252	205	Extension for reply within second month
1253	930	2253	465	Extension for reply within third month
1254	1,450	2254	725	Extension for reply within fourth month
1255	1,970	2255	985	Extension for reply within fifth month
1401	320	2401	160	Notice of Appeal
1402	320	2402	160	Filing a brief in support of an appeal
1403	280	2403	140	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,300	2453	650	Petition to revive - unintentional
1501	1,300	2501	650	Utility issue fee (or reissue)
1502	470	2502	235	Design issue fee
1503	630	2503	315	Plant issue fee
1460	130	1460	130	Petition to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)(1)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))
1801	750	2801	375	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$ 110.00)**

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Date

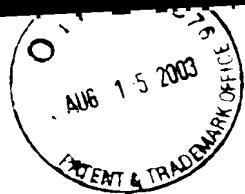
Signature

Typed or printed name

## SUBMITTED BY

Name (Print Type) Signature	Jeffrey L. Costellia	Registration No. (Attorney/Agent)	35,483	Complete if applicable
				Telephone (703) 770-9300
				Date August 15, 2003

SEND TO: Commissioner for Patent,  
P. O. Box 1450  
Alexandria, VA 22313-1450



Docket No. 740756-2183

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
Shunpei YAMAZAKI ) Group Art Unit: 2814  
Serial No. 09 620,968 ) Examiner: Nathan W. Ha  
Filed: July 20, 2000 ) Confirmation No. 4214  
For: METHOD OF FORMING INSULATIN FILMS, )  
CAPACITIES, AND SEMICONDUCTOR  
DEVICES

RESPONSE TO ELECTION OF SPECIES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Restriction Requirement/Election of Species mailed June 16, 2003, applicants respond as follows.

**REMARKS**

In response to the Office Action of June 16, 2003, Applicants hereby elect Embodiment 2, Figs. 3A-3B readable on claims 2-22.

Filed concurrently herewith is a *Request for a One Month Extension of Time* which extends the shortened statutory period of response to August 16, 2003. Accordingly, Applicants respectfully submit that this response is being timely filed.

Consideration and allowance of the instant application are now respectfully requested.

Respectfully submitted,

  
Jeffrey L. Costellia  
Registration No. 35,483

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